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February 27, 2003

VIA EXPRESS MAIL

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application No.: 09/945325
For: NOVEL AUTOINDUCER MOLECULES AND USES THEREFOR
Inventors: Pesci, Everett C. *et al.*
Filed: August 31, 2001
Our Ref. No.: UIZ-068CP

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Information Disclosure Statement;
2. PTO Form 1449;
3. Copies of references cited in PTO Form 1449 (120);
4. A copy of the International Search Report; and
5. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

Certificate Under 37 CFR 1.10

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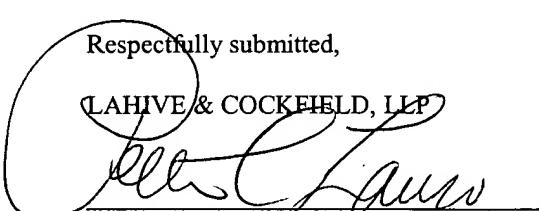
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Name of Person Mailing Paper

Signature of Person Mailing Paper

Respectfully submitted,

LAHIVE & COCKFIELD, LLP


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Reg. No. 32,360

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Pesci, Everett C. *et al.*

Serial No.: 09/945325

Filed: August 31, 2001

For: NOVEL AUTOINDUCER MOLECULES AND
USES THEREFOR

Attorney Docket No.: UIZ-068CP

Group Art Unit: 1625

Examiner: Huang, Evelyn Mei

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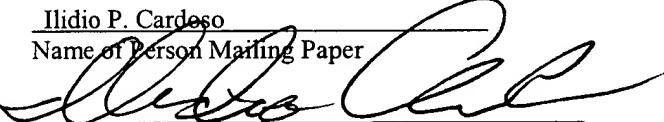
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Ilidio P. Cardoso

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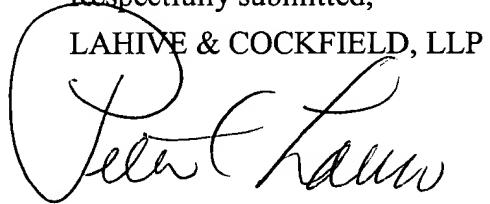
Applicants and their Attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. Reference ID Nos. A6, A12, A14, A16, A17, A21, A22, A23, B2, B6, B10, B14, C2, C3, D7, D9, E1, E13, and F6 were cited in an International Search Report during the prosecution of PCT/US01/27165 which corresponds to the above referenced application. A copy of the report and each cited publication is enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications.

In accordance with 37 CFR §1.97(d)(2) and §1.17(p), please charge the \$180.00 submission fee to our Deposit Order Account No. 12-0080. Please charge any necessary additional fees or credit any overpayments to our Deposit Order Account No. 12-0080.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP



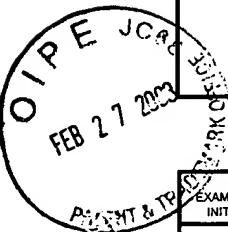
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Date: February 27, 2003

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Enclosures

APPLICANT FACSIMILE OF FORM PTO-1449 REV 7-80		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO UIZ-068CP	SERIAL NO. 09/945325
LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT	Pesci, Everett C. et al.	
		FILING DATE August 31, 2001	GROUP 1625	



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	4,650,750	03/87	Giese	435	7	RECEIVED MAR 06 2001
	A2	4,709,016	11/87	Giese	530	389	MAR 06 2001
	A3	5,239,088	08/93	Hoffman et al.	549	321	RECEIVED MAR 06 2001
	A4	5,320,805	06/94	Kramer et al.	422	28	RECEIVED MAR 06 2001
	A5	5,536,750	06/96	deSolms et al.	514	538	RECEIVED MAR 06 2001
	A6	5,591,872	01/97	Pearson et al.	549	321	RECEIVED MAR 06 2001
	A7	5,593,827	01/97	Bycroft et al.	435	6	RECEIVED MAR 06 2001
	A8	5,686,472	11/97	Anthony et al.	514	357	
	A9	5,776,974	07/98	Bycroft et al.	514	445	
	A10	6,337,347	01/02	Livinghouse	514	471	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	A11	JP 58096079	06/83	Japan			Abstract Only
	A12	JP 59051267	03/84	Japan			Abstract Only
	A13	JP 60045568	03/85	Japan			Abstract Only
	A14	EP 0 177 764 A1	05/85	EPO			
	A15	WO 92/18614 A1	10/92	WO			
	A16	JP 07188208	07/95	Japan			Abstract Only
	A17	WO 95/28929 A1	11/95	WO			
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	A19	WO 97/27851 A1	08/97	WO			
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	A21	JP 11029430	02/99	Japan			Abstract Only
	A22	JP 11029307	02/99	Japan			Abstract Only
	A23	WO 99/65889 A1	12/99	WO			

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A24	Allison, D.G. et al., "A staining technique for attached bacteria and its correlation to extracellular carbohydrate production", <i>J. Microbiol. Methods</i> , 2:93-99 (1984).
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APPLICANT FACSIMILE OF FORM PTO-1449 REV 7-80	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO UIZ-068CP	SERIAL NO. 09/945325
LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT Pesci, Everett C. et al.	MAR 06 2003
		FILING DATE August 31, 2001	GROUP 1625 TECH CENTER 1600/290

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B4	Bainton, N.J. et al., "A general role for the <i>lux</i> autoinducer in bacterial cell signalling: Control of antibiotic synthesis in <i>Erwinia</i> ", <i>Gene.</i> , 116:87-91 (1992).
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B11	Boyd, A. et al., "Sequence of the <i>algL</i> gene of <i>Pseudomonas aeruginosa</i> and purification of its alginate lyase product", <i>Gene</i> , 131:1-8 (1993).
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B18	Choi, S.H. et al., "Genetic Dissection of DNA Binding and Luminescence Gene Activation by the <i>Vibrio fischeri</i> LuxR Protein," <i>Journal of Bacteriology</i> , 1992, vol. 174, No. 12, 4064-4069.
B19	Christensen, B.E. et al., "Physical and chemical properties of biofilms", In: Characklis, W.G. et al (eds.) <i>Biofilms</i> . John Wiley & Sons, New York, pp. 93-130 (1990).

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APPLICANT FACSIMILE OF FORM PTO-1449 REV 7-80	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO UIZ-068CP	SERIAL NO. 09/945325
LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT Pesci, Everett C. et al.	MAR 06 2003
		FILING DATE August 31, 2001	GROUP 1625 TECH CENTER 1600, 2900



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C5	Davies, D.G. et al., "Exopolysaccharide production in biofilms: Substratum activation of alginate gene expression by <i>Pseudomonas aeruginosa</i> ", <i>Appl. Environ. Microbiol.</i> , 59:1181-1186 (1993).
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C8	Dempsey, M.J., "Marine bacterial fouling: A scanning electron microscope study", <i>Marine Biol.</i> , 61:305-315 (1981).
C9	Eberhard, A. et al., "Structural identification of autoinducer of <i>Photobacterium fischeri luciferase</i> ", <i>Biochemistry</i> , 20:2444-2449 (1981).
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C11	Eberhard, et al., "Synthesis of the <i>lux</i> gene autoinducer in <i>Vibrio fischeri</i> is positively autoregulated," <i>Archives of Microbiology</i> , 1991, vol. 155, 294-297.
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C15	Floodgate, G.D. "The mechanism of bacterial attachment to detritus in aquatic systems", <i>Memorie dell'Istituto Italiano di idrobiologica Dott. Carco di Marchi</i> 29 (suppl.), 311-323 (1972).
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August 31, 2001

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D2	Gambello, M.J. et al., "Cloning and Characterization of the <i>Pseudomonas aeruginosa lasR</i> Gene, a Transcriptional Activator of Elastase Expression," <i>Journal of Bacteriology</i> , 1991, vol. 173, No. 9, 3000-3009.
D3	Gambello, M.J. et al., "LasR of <i>Pseudomonas aeruginosa</i> is a transcriptional activator of the alkaline protease gene (<i>apr</i>) and an enhancer of exotoxin A expression", <i>Infect. Immun.</i> , 61:1180-1184 (1993).
D4	Geesey, G.G. et al., "Microscopic examination of natural sessile bacterial populations from an alpine stream", <i>Can. J. Microbiol.</i> , 23:1733-1736 (1997).
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D9	Hirao, I. et al. "A convenient synthesis of 2- and 2,3-substituted 4H-chromen-4-ones," <i>Synthesis</i> , 22:1076-1078.
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D11	Holloway, B.W., "Genetic recombination in <i>Pseudomonas aeruginosa</i> ", <i>J. Gen. Microbiol.</i> , 13:572-581 (1955).
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D19	Kintner, P.K. III, et al., "Carbohydrate interference and its correction in pectin analysis using the m-hydroxydiphenyl method", <i>J. Food Sci.</i> , 47:756-760 (1982).

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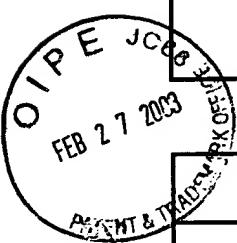
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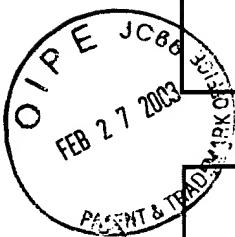
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	E1	Kostova, I. et al. "Alkaloids and coumarins from <i>Ruta graveolens</i> ", <i>Monatshefte für Chemie</i> , 130(5):703-707 (1999).
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	E7	Ochsner, U.A., et al., "Autoinducer-mediated regulation of rhamnolipid biosurfactant synthesis in <i>Pseudomonas aeruginosa</i> ", <i>PNAS USA</i> , 92:6424-6428 (1995).
	E8	Passador, L. et al., "Expression of <i>Pseudomas aeruginosa</i> Virulence Genes Requires Cell-to-Cell Communication," <i>Science</i> , 1993, vol. 260, 1127-1129.
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	F1	Preiss, J. et al., "Alginic acid metabolism in bacteria. I. enzymatic formation of unsaturated oligosaccharides and 4-deoxy-L-erythro-5-hexoseulose uronic acid", <i>J. Biol. Chem.</i> , 237:309-316 (1962).
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